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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

BY Danyle Ratch DATE April 8, 2002 PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re:	Patent Application of Mitchell A. Lazar	: Group Art Unit: 1645
Appln. No.:	09/986,234	: Examiner: Not Yet Assigned
Filed:	October 22, 2001	:
Title:	COMPOSITIONS, METHODS AND KITS RELATING TO RESISTIN	: Attorney Docket No. 53893-5032-01



**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is a copy of the original Filing Receipt for the above-identified patent application. In the Applicants' section, please correct the spelling of the inventor's name as follows:

delete Lazer and change to -- Lazar --

Please make this correction and provide us with a Corrected Filing Receipt.

Respectfully submitted,

**MITCHELL A. LAZAR**

April 8, 2002  
Date

By:

Kathryn Doyle  
KATHRYN DOYLE, Ph.D., J.D.  
Registration No. 36,317  
MORGAN, LEWIS & BOCKIUS, L.L.P.  
1701 Market Street  
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Direct Dial: (215) 963-4723  
Facsimile: (215) 963-5299  
E-Mail: kdoyle@morganlewis.com

/dp

Enclosure - marked-up copy of original filing receipt

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW:

BY: Danyle R. Hatch

DATE: April 8, 2002

**PATENT  
BOX MISSING PARTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re: Patent Application of Mitchell A. Lazar : Group Art Unit: 1645  
Appln. No.: 09/986,234 : Examiner: Not Yet Assigned  
Filed: October 22, 2001 :  
Title: COMPOSITIONS, METHODS AND KITS RELATING TO RESISTIN : Attorney Docket No. 53893-5032-01

**PETITION FOR EXTENSION OF TIME**

Applicants in the above-identified application hereby petition for a two (2) month extension of time, to and including April 8, 2002 (April 6 and April 7 being a Saturday and Sunday), for responding to the outstanding Notice to File Missing Parts dated December 6, 2001.

Applicants' Response to the Notice to File Missing Parts is being filed simultaneously herewith. The Commissioner is hereby authorized to charge and/or credit Deposit Account 50-0310 as noted below: A duplicate copy of this Petition is being filed for accounting purposes.

- ☒ The filing fee of **\$200.00** for the two month extension of time.  
☒ Any overpayments or deficiencies in the above-calculated fee(s).  
☒ Any additional fees required under 37 C.F.R. §1.16 or §1.17.

Respectfully submitted,

**MITCHELL A. LAZAR**

April 8, 2002  
Date

By: Kathryn Doyle

KATHRYN DOYLE, Ph.D., J.D.  
Registration No. 36,317  
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E-Mail: kdoyle@morganlewis.com

04/17/2002 SFELEKE1 00000116 500310 09986234  
02 FC:216 200.00 CH

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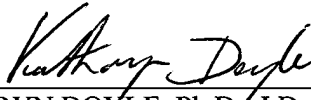
- ☐ Notice of Change of Address
- ☒ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☒ a Non-Profit Organization.
- ☒ The following fees noted below are being paid:
- ☒ Surcharge of **\$65.00** for late filing of filing fee and/or Declaration and Power of Attorney.
- ☐ Surcharge of **\$65.00** for late filing of English translation.
- ☒ Surcharge of **\$200.00** for a Two Month Extension of Time.
- ☐ Enclosed is our firm's check in the amount of \$\_\_\_\_\_ for the above fee.
- ☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-0310 (billing code 53893-5032-01) as noted below. A duplicate copy of this authorization is being submitted herewith for accounting purposes.
- ☒ The above-calculated fee(s) totaling **\$265.00**.
- ☒ Any overpayments or deficiencies in the above-calculated fee(s).
- ☒ Any additional fees required under 37 C.F.R. §1.16 or §1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. §1.136(a) to our Deposit Account noted above.

Respectfully submitted,

**MITCHELL A. LAZAR**

April 8, 2002  
Date

By:

  
KATHRYN DOYLE, Ph.D., J.D.  
Registration No. 36,317  
MORGAN, LEWIS & BOCKIUS, L.L.P.  
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Direct Dial: (215) 963-4723  
Facsimile: (215) 963-5299  
E-Mail: kdoyle@morganlewis.com

/dp

Enclosures (copy of Notice to File Missing Parts; executed Declaration; Request for a Corrected Filing Receipt; Petition for a Two Month Extension of Time (in dupl.))

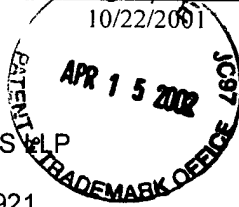


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/986,234	10/22/2001	Mitchell A. Lazer	053893-5032-01

028977  
MORGAN, LEWIS & BOCKIUS LLP  
1701 MARKET STREET  
PHILADELPHIA, PA 19103-2921



CONFIRMATION NO. 4995

## FORMALITIES LETTER



\*OC000000007161394\*

Date Mailed: 12/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/17/2002 SFELEKE1 00000116 500310 09986234

01 FC:205 65.00 CH

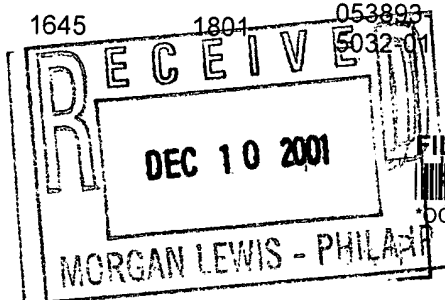


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/986,234	10/22/2001	1645	1801	053893 5032-01	27	81	24

028977  
MORGAN, LEWIS & BOCKIUS LLP  
1701 MARKET STREET  
PHILADELPHIA, PA 19103-2921



CONFIRMATION NO. 4995

FILING RECEIPT



\*DC000000007161393\*

Date Mailed: 12/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s) *Lazar*  
Mitchell A. ~~Lazar~~, Gladwyne, PA;

**Assignment For Published Patent Application**

The Trustees of the University of Pennsylvania;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CON OF PCT/US00/11272 04/27/2000  
WHICH CLAIMS BENEFIT OF 60/131,263 04/27/1999

**Foreign Applications**

If Required, Foreign Filing License Granted 12/05/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

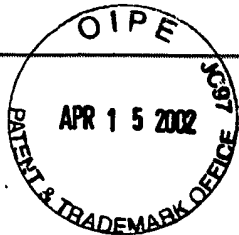
**\*\* SMALL ENTITY \*\***

**Title**

Compositions, methods, and kits relating to resistin

**Preliminary Class**

435



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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).